

"Refresher Course for Investment Consultant (Stock and Derivatives) Investment Planner (IP) and Investment Analyst (IA)"

25 Aug 2018 08.30 AM.-6.30 PM. at The Rembrandt Hotel Bangkok

26 Aug 2018 08.30 AM.-4.30 PM. at The Rembrandt Hotel Bangkok

Date	Time	Details	Speaker
25 Aug 18	08.00 AM - 08.30 AM	Registration	
	08.30 AM - 03.30 PM (Migration M1)	<p><b>Migration Module 1: Complex Product : Fixed Income and Mutual Fund (Complex Module 1) (6 Hours)</b></p> <p><b>Overview: Fixed Income Securities</b></p> <ul style="list-style-type: none"> <li>- General Principles of Fixed Income investment</li> <li>- Fixed Income with special features                             <ul style="list-style-type: none"> <li>- Callable / Puttable Bond</li> <li>- Convertible Bond</li> </ul> </li> <li>- Securitization</li> <li>- Sukuk</li> </ul> <p><b>Overview: Complex Fixed Income</b></p> <ul style="list-style-type: none"> <li>- Complex fixed income overview</li> <li>- Equity-like Fixed Income</li> <li>- Fixed income with embedded options</li> <li>- Non-investment grade fixed income</li> </ul> <p><b>Overview: Complex Mutual Fund</b></p> <ul style="list-style-type: none"> <li>- Overview of complex mutual fund</li> <li>- Mutual fund with non-investment grade or unrated bonds</li> <li>- Mutual fund with complicated return calculation</li> <li>- Mutual Fund with commodity futures</li> <li>- Hedge Fund</li> <li>- Complex Mutual Fund</li> </ul> <p><b>Complex Fixed Income and Mutual Fund Recommendation</b></p> <ul style="list-style-type: none"> <li>- General Guideline for Complex Fixed Income and Mutual Fund Recommendation</li> <li>- Selected Case Study for Recommendation Guideline</li> </ul>	<p><b>Danai Aroonkittichai, CFA</b> Lecturer from Association of Thai Securities Companies</p>
	03.30 PM - 06.30 PM (Ethic)	<p><b>Understanding your roles as IC, IP and IA (3 Hours)</b></p> <ul style="list-style-type: none"> <li>- IC &amp; IA's Duties and Conduct</li> <li>- Sanctions</li> <li>- Case Studies</li> <li>- Update Key Regulations</li> </ul>	<p><b>Poonsin Prasong-ngern</b> Lecturer from Intermediaries Supervision Department The Securities and Exchange Commission, Thailand</p>

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Date	Time	Details	Speaker
26 Aug 18	08.30 AM - 09.00 AM	ลงทะเบียนอบรม	
	09.00 AM - 12.00 AM (Migration M2)	<p><b>Migration Module 2 : TFEX Derivatives: Futures &amp; Options(Complex Module 2) (3 Hours)</b>                      (SET 50 Index Futures / Sector Index Futures / Single Stock Index Futures / SET 50 Index Options)</p> <ul style="list-style-type: none"> <li>- Key contract specification</li> <li>- Investment Risk and Return</li> <li>- Benefit of products on speculation, hedging and arbitraging</li> <li>- Caution on investment recommendation</li> </ul> <p><b>Precious Metal Derivatives (Gold Futures / Gold-D)</b></p> <ul style="list-style-type: none"> <li>- Key contract specification</li> <li>- Investment Risk and Return</li> <li>- Benefit of products on speculation, hedging and arbitraging</li> <li>- Caution on investment recommendation</li> </ul> <p><b>Other Derivatives products</b></p> <ul style="list-style-type: none"> <li>- Foreign Exchange Derivatives (USD Futures)                             <ul style="list-style-type: none"> <li>- Key contract specification</li> </ul> </li> <li>- Commodity Derivatives (RSS3D Futures)                             <ul style="list-style-type: none"> <li>- Key contract specification</li> </ul> </li> <li>- Interest Derivatives (Interest Rate Futures)                             <ul style="list-style-type: none"> <li>- Key contract specification</li> </ul> </li> </ul>	<p>Tosapol Kerdphol, CFA, FRM                      Lecturer from                      Association of Thai Securities                      Companies</p>
	01.00 PM - 04.00 PM	<p><b>How to measure your Portfolio return and Risk Assessment(3 Hours)</b></p> <ul style="list-style-type: none"> <li>- Rebalancing the portfolio: basic idea and method of Calendar Rebalancing and Percentage Portfolio Rebalancing</li> <li>- Benchmark: concepts, properties and types of the benchmark.</li> <li>- Portfolio Evaluation: Stock Selection and Sector Selection</li> <li>- Risk-adjusted Performance appraisal measures: Sharpe Ratio and Information Ratio</li> <li>- Order types: benefit and cost on VWAP, TWAP, POV</li> </ul>	<p>Tosapol Kerdphol, CFA, FRM                      Lecturer from                      Association of Thai Securities                      Companies</p>
	04.00 PM - 04.30 PM	Post-test	

Note : ASCO Training Institute may change the details, date and schedules as appropriate.

Dessert Break at 10.30 AM and 02.30 PM for 15 minutes each. Lunch Break at 11.30 AM - 12.30 AM(Saturday) and 12.00 - 13.00(Sunday)